### **Realistic Cooperative MIMO Channel Models for 4G and Beyond**

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# Outline

- I. Background Information and Motivation
- II. Point-to-Point MIMO Channel Models
- III. Challenges for Cooperative MIMO Channel Modelling
- IV. Visiting Fellowship Research Programme and Plan





### **I. Background Information and Motivation**

- Multiple-input multiple-output (MIMO): a key 3G/4G/B4G technology
  - Use multiple antennas at both the transmitter (Tx) and receiver (Rx)
  - Exploits the spatial dimension of mobile propagation channels.
  - Main benefits: spatial multiplexing gain and spatial diversity gain (and power gain).
  - Widely used in various standards, e.g., LTE, IMT-Advanced, WINNER, COST259, COST273, Wi-Fi (802.11n), WiMAX (802.16a, 802.16e).



### MIMO in Cellular Systems

- Conventional MIMO: point-to-point (P2P) MIMO, single-user MIMO, or collocated MIMO
  - Only employs antennas belonging to a local terminal
  - **Collocated** antennas at the BS+ **Collocated** antennas at each user
  - Independent MIMO signal processing between the BS and each user.
- **Cooperative MIMO:** distributed MIMO, network MIMO, or virtual antenna array (VAA)
  - Utilises distributed antennas that belong to other terminals
  - Collocated (or Distributed) antennas at the BS + Distributed (or Collocated) antennas at multiple users
  - Joint MIMO signal processing among multiple BSs and/or multiple users
  - **Disadvantages**: increased system complexity, large signalling overhead
  - Advantages: increased capacity, cell edge throughput, and coverage





### Three Types of Cooperative MIMO Schemes

- **Coordinated multipoint transmission (CoMP)**: coordinate the transmission and reception of signal from/to one user in several geographically separated points (BSs)
- **Fix relays**: low-cost and fixed radio infrastructures without wired backhaul connections
- Mobile relays: mobile stations as relays, not deployed as the infrastructure of a network
  - Moving networks & Mobile user relays



### What Are Realistic MIMO Channel Models?

- Simplest MIMO channel models can use multiple uncorrelated processes.
- In practice, spatial correlations are often observed, which greatly influences the link capacity of MIMO systems.
- Criteria for realistic MIMO channel models:
  - Accuracy:
    - should consider spatial-temporal correlation properties
    - should consider channel variations of multiple users/links and multiple cells at the system level (instead of only at the link level), among other characteristics
  - Simplicity: easy and efficient to use
  - **Flexibility/Generality**: sufficiently flexible/generic to cover all the required test enbironments and scenarios (e.g., specified by 3GPP LTE or IMT-Advanced).





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### **II. Point-to-Point MIMO Channel Models**

- **Deterministic channel models**: all parameters are fixed
  - Channel measurements, e.g., stored measured channel impulse responses
  - Ray tracing technique
- Stochastic channel models: at least one parameter is stochastic
  - Geometry Based Stochastic Models (GBSMs):
    - Purely geometric (shape of scatterer region): one ring, two ring, elliptical, ...
    - Semi-geometric (spatial scatterer distributions + clusters + user defined parameters): 3GPP SCM (extended), WINNER, IMT-Advanced, COST 259, COST 273, ...
  - Correlation Based Stochastic Models (CBSMs):
    - Kronecker Based Stochastic Model (KBSM): 3GPP LTE, 802.11n
    - Joint correlation model: Weichselberger model, Virtual channel representation





### Standardised MIMO Channel Models

- **GBSMs:** 
  - COST 259 (1996-2000): very general, 13 radio environments
  - COST 273 (2001-2005): updated radio environments and model parameters
  - **3GPP Spatial Channel Model (SCM)**: 5 MHz system bandwidth, 3 environments
  - WINNER: related to both the COST 259 model and 3GPP SCM-Extended (SCME) additional 5 GHz centre frequency, 100 MHz system bandwidth
  - **IMT-Advanced**: similar to WINNER
- CBSMs/KBSMs:
  - 3GPP LTE, extended ITU, IEEE TGn



### Trade-Offs of MIMO Channel Models

- Deterministic approach  $\leftarrow \rightarrow$  Stochastic approach
- Physical intuition  $\leftarrow \rightarrow$  Analytical traceability



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## **III. Challenges for Cooperative MIMO Channel Modelling**

- Standardised cooperative MIMO channel models are not yet available.
- Can be constructed from the existing (standardised) P2P MIMO channel models + additional features/models
- Additional features to be addressed (challenges):
  - Heterogeneity of multiple links
  - Correlation of multiple links
  - Mobile-to-mobile (M2M) channel models





## Challenge 1: Heterogeneity of Links in Cooperative MIMO

Cooperative MIMO operates over heterogeneous links/channels

#### CoMP

• BS-MS channels: fixed-tomobile (F2M) channels

#### **Fixed relay**

- BS-RS (fixed to fixed-F2F) channels
- RS-RS (F2F) channels
- BS-MS (F2M) channels
- RS-MS (F2M) channels

#### Mobile relay

- BS-RS (F2M) channels
- RS-RS (M2M) channels
- BS-MS (F2M) channels
- RS-MS (M2M) channels

- The heterogeneity of multiple links can be characterised by
  - Multiple scenarios
  - Different line-of-sight (LoS) probability
  - Different dynamics of time evolution



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### Scenarios Defined in Existing Channel Models

#### SCM (3):

- Suburban Macro (NLOS)
- ➢ Urban macro (NLOS)
- Urban Micro (LOS / NLOS)SCM-E(3):
- Suburban Macro (LOS / NLOS)
- Urban macro (LOS / NLOS)
- Urban Micro (LOS / NLOS)

#### LTE (6):

- Extended Pedestrian A (EPA) 5Hz
- Extended Vehicular A model (EVA) 5Hz
- Extended Vehicular A model (EVA) 70Hz
- Extended Typical Urban model (ETU) 70Hz
- Extended Typical Urban model (ETU) 300Hz
- High-speed train scenario (TBD)

#### IMT-Advanced (5):

- Indoor hotspot (LOS / NLOS)
- Urban micro-cell(LOS / NLOS/ O to I)
- Urban macro-cell(LOS / NLOS)
- Rural macro-cell (LOS / NLOS)
- Suburban marco-cell (LOS / NLOS)

#### LTE-Advanced (4)

- > Indoor
- > Microcellular
- Base Coverage Urban
- High Speed



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### Scenarios Defined in Existing Channel Models (cont.)

#### WINNER II (14): --Most comprehensive!

- ➤ A1 Indoor office (LOS/NLOS)
- A2 Indoor to outdoor (NLOS)
- B1 Urban micro-cell (LOS/NLOS)
- B2 Bad urban micro-cell (LOS/NLOS)
- ➢ B<sub>3</sub> − Indoor hotspot (LOS/NLOS)
- B<sub>4</sub> Outdoor to indoor (NLOS)
- B5 Stationary feeder (LOS/NLOS)
- C1 Suburban macro-cell (LOS/NLOS)
- C2 Urban macro-cell (LOS/NLOS)
- C<sub>3</sub> Bad urban macro-cell (LOS/NLOS)
- C<sub>4</sub> Urban macro outdoor to indoor (NLOS)
- D1 Rural macro-cell (LOS/NLOS)
- D2 Moving networks (LOS/NLOS)

#### IEEE 802.16j (9) – Consider relays!

- Type A: Macro-cell suburban, ART to BRT for hilly terrain with moderate-to-heavy tree densities. (LOS/NLOS)
- Type B: Macro-cell suburban, ART to BRT, for intermediate path-loss condition (LOS/NLOS)
- Type C: Macro-cell suburban, ART to BRT for flat terrain with light tree densities (LOS/NLOS)
- ➤ Type D: Macro-cell suburban, ART to ART LOS
- ➤ Type E: Macro-cell, urban, ART to BRT (NLOS)
- ➤ Type F: Urban or suburban, BRT to BRT (NLOS)
- ➤ Type G Indoor Office LOS/NLOS
- ➢ Type H Macro-cell, urban, ART to ART (LOS)
- Type J Outdoor to indoor NLOS

ART: above roof-top;

BRT: below roof-top



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### A Cooperative MIMO Channel Model Based On WINNER II

Cooperative MIMO	Link type	Description	<b>Recommended scenario</b>
CoMP	BS-MS	MS indoor	C2 (NLoS)
		MS outdoor	C2 (LoS)
Fixed relay	BS-MS	Indoor or outdoor	C2
	BS-RS	Various RS locations	B5 (LoS/NLoS)
	RS-RS	Various RS locations	B5 (LoS/NLoS)
	RS-MS	Indoor-to-indoor	A1 (LoS/NLoS)
		Indoor-to-outdoor	A2 (NLoS)
		Outdoor-to-outdoor	B1 (LoS/NLoS)
Moving network	BS-MS	Indoor	C2 (NLoS)
	BS-RS	LoS for RS	C2 (LoS)
	RS-MS	Indoor	B3 (LoS/NLoS)
Mobile user relay	BS-MS	Indoor or ourdoor	C2
	MS-MS	LoS	B5b (not a M2M scenario)



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### LoS Probability and Scenario Transition

### LoS probability

- LoS probability depends on various environment factors (e.g., terrain features and distance).
- Different links in a cooperative transmission may have different LoS probabilities.

### Time evolution of fading channels

- Time evolution behaviour, e.g., transitions between different scenarios and LoS/NLoS conditions, may have significant impact on system performance.
- Different links in a cooperative transmission may have different time evolution dynamics.

	SCM	WINNER-II	IEEE 802.16j
LoS probability model	Urban microcell only	Scenario-dependent	Scenario-dependent
Scenario transition	Quasi-stationary	Non-stationary	No





### Challenge 2: Correlation of Multiple Links

- Large-scale parameters, such as shadow fading (SF), delay spread (DS) and azimuth spread (AS), may be correlated.
- Intra-site correlation (c1&c2) v.s. Inter-site correlation (a1&a2)



• Shadow fading (SF) correlation is an important phenomenon to model because it directly influence the macro diversity gain.

	SCM	WINNER-II	<b>IEEE 802.16j</b>
Intra-site SF correlation	0	Distance-dependent	Distance-dependent
Inter-site SF correlation	0.5	0	Distance-and-angle dependent
<b>Correlation of other LSPs</b>	Fixed values	Distance-dependent	Not considered



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### **Small Scale Channel Correlation**

A simple GBSM illustrates that two inter-site links can have high small scale fading correlation.





A one-ring GBSM for a CoMP system.

Absolute values of small scale fading correlation coefficients of the two links in a CoMP system as a function of  $\theta$  and D2 (D1=500 m, R=30 m).



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### Challenge 3: M2M Channel Modelling

#### • Mobile-to-mobile (M2M) communications:

- Require the direct communication between two moving stations both equipped with low elevation antennas
- Different from traditional fixed-to-mobile (cellular) communications
  - Only one station is moving.
  - Very often, the base station has highly elevated antenna (free of scatterers).

#### • Challenges for M2M channel modelling:

- Greater dynamics (faster time-varying) and more severe fading than F2M channels
- Non-stationarity of M2M channels
- Impact of the density of moving scatterers on the channel characteristics
- Impact of the elevation angle on the channel characteristics: 3D model





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## **IV. Visiting Fellowship Research Programme and Plan**

- WP1 (10/12/2010—09/01/2011, Southeast Univ.): Development of cooperative MIMO channel models that consider the correlations of multiple links and LSPs and can run multiple scenarios simultaneously, supported by measurement results
- WP2 (01/04/2011—30/04/2011, ShandongUniv.): Development of realistic M2M channel models which can be used for cooperative MIMO systems using mobile relays
- WP3 (01/05/2011—30/05/2011, Shandong Univ.): Development of a new realistic cooperative MIMO channel model (framework) with the above desirable features while having reasonable complexity
- WP4 (after the visit): Investigation of the impacts of the proposed cooperative MIMO channel model and existing cooperative MIMO channel models on the performance of cooperative MIMO systems; collaboration with industrial partners and contribution to standard proposals

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### **Some Relevant Publications**

- C.-X. Wang, X. Hong, X. Ge, X. Cheng, G. Zhang, and J. S. Thompson, "Cooperative MIMO channel models: a survey," *IEEE Communications Magazine*, vol. 48, no. 2, pp. 80-87, Feb. 2010.
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- 3. X. Cheng, **C.-X. Wang**, D. I Laurenson, S. Salous, and A. V. Vasilakos, "An adaptive geometry-based stochastic model for non-isotropic MIMO mobile-to-mobile channels", *IEEE Trans. on Wireless Communications*, vol. 8, no. 9, pp. 4824-4835, Sept. 2009.
- 4. X. Cheng, **C.-X. Wang**, D. I Laurenson, S. Salous, and A. V. Vasilakos, "New deterministic and stochastic simulation models for non-isotropic scattering mobile-to-mobile Rayleigh fading channels," *Wireless Communications and Mobile Computing*, John Wiley & Sons, accepted for publication.
- 5. C.-X. Wang, X. Hong, H. Wu, and W. Xu, "Spatial temporal correlation properties of the 3GPP spatial channel model and the Kronecker MIMO channel model", *EURASIP Journal on Wireless Communications and Networking*, vol. 2007, Article ID 39871, 9 pages, 2007. doi:10.1155/2007/39871.

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## Acknowledgement

- Support from the RCUK for the UK-China Science Bridges Project: R&D on (B)4G Wireless Mobile Communications;
- Support from the Scottish Funding Council for the Joint Research Institute in Signal and Image Processing between the University of Edinburgh and Heriot-Watt University which, as a part of the Edinburgh Research Partnership in Engineering and Mathematics (ERPem);
- Coauthors

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